



Docket No.: 01946/100B035-USD

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Koc-Kan Ho et al.

Application No.: 10/623,142

Filed: July 18, 2003 Art Unit: 1621

For: COMPOUNDS AND COMPOSITIONS FOR

DELIVERING ACTIVE AGENTS

Confirmation No.: 5198

Examiner: P. A. Zucker

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination under 37 CFR 1.114 (37 CFR 1.97(b)(4)).

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

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A concise explanation of relevance of the items listed on form PTO/SB/08 is given for only non-English language listed items.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: August 4, 2006

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Respectfully submitted,

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PTO/SB/08a/b (07-05)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known		
				Application Number	10/623,142-Conf. #5198	
	INFORMATION	N DI	SCLOSURE	Filing Date	July 18, 2003	
	STATEMENT I	BY /	APPLICANT	First Named Inventor	Koc-Kan Ho	
				Art Unit	1621	
L	(Use as many sheets as necessary)			Examiner Name	P. A. Zucker	
She	et 1	of	1	Attomey Docket Number	01946/100B035-USD	

U.S. PATENT DOCUMENTS					
Examiner	r Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where
Initials*		Number-Kind Code ² (if known)		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AA*	US-4,026,896		Harita et al.	

		FOREI	GN PATENT	DOCUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	-
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	™
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	BC	JP-S63-54322	03-08-1988			1
	BD	EP-0226304	06-24-1987			1
	BE	JP-S51-32538	03-19-1976			1
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	ВН	-S51-1440	01-08-1976			1
	ВІ	JP-S49-87692	08-22-1974			
	BJ	GB-1 409 689	10-15-1975			
	BK	WO-94/21602-A1	09-29-1994	G.D. Searle & Co.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Interest of the tissued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. In this indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	CA	Chemical Abstracts, Vol. 70, Abs. No. 114838e			
	CB	J. Org. Chem., (1980), 45(3), pp. 441 to 444			
	CC	Organic Chemistry, Third Edition, (1973), pp. 671,672, and 755 to 758			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	 Date	
Signature	 Considered	
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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Application No. (if known): 10/623,142

Attorney Docket No.: 01946/100B035-USD

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Information Disclosure Statement

IDS (Citation) by Applicant (15 References) (1 page)

14 Cited References Return Receipt Postcard